

## FINAL PROFILES: The Philanthropia Trinity meeting in London - 20th January 2006

Dear fellow philanthropists, thinkers and specialist advisors

The final profiles of all the attendees follow. *The Philanthropia Trinity Round Table* is taking place on 20th January 2006 between 12:15pm and 2:45pm at Lawrence Graham, 190 Strand, London WC2R.

The distinguished attendees include:

### . **Sheila Bailey, Chairman, Prya Group of Companies [Isle of Man]**

Sheila Bailey is the Chairman of The Prya Group of Companies which include Prya UK Capital - a Community Development Finance Institution (CDFI); Prya Partnerships (a company limited by guarantee); the Prya Academy of Leadership, Innovation and Entrepreneurship; Prya Biosciences Ltd; Prya Informatics Ltd; Discovery Ltd; Prya Development Capital Ltd and other organisations. Building up from the grass roots, she seeks to connect with people who share a common ethos and are building from the top. She is also a member of London First's Sustainability Task Force and their Regeneration Task Force for London. Sheila Bailey feels that the UK is not creating enough companies that will create the businesses of tomorrow that the stock market will want to invest in. We need to get investment into a wide variety of businesses. Prya Capital seeks to help by bringing the private sector into local partnerships with the public sector to create sustainable, quality employment in disadvantaged areas.

### . **Lawrence Bloom, Chairman, The Sustainability Company [London]**

Lawrence Bloom enjoyed a prestigious career in the property industry having sat on the Executive Committee of the Intercontinental Hotel Group and managed their USD 3 billion Real Estate Portfolio worldwide. Whilst there, he created with the CEO, John van Praag, an hotel environmental manual which was accepted by all the main 5 Star hotel companies and is currently in use in nearly 4 million hotel bedrooms worldwide. He also built as developer and strategised major London Development projects including Number One Poultry building near The Bank of England in the City of London. He is currently engaged in a number of Initiatives to help create sustainable solutions for the future. He is Chairman of Orbist a property development company using an innovative financial model to create research academies at the heart of sustainable communities. He is looking to create a revolution in food growing technologies by using a revolutionary fabric with extraordinary insulating qualities that dramatically alters the cost and quality profile of greenhouse growing. His interests also include the shifting levels of Human consciousness and perception and he sat for many years as Trustee (He is now a principal advisor) to a Rockefeller funded project pre-eminent in this arena. He was also a contributing author, in the early nineties, to the much acclaimed book on leadership "Merchants of Vision."

Lawrence's personal focus is to raise awareness of the urgent need for transformation at individual, cultural and organisational levels. The new thinking needs to be based on an holistic and stakeholder paradigm, sharing best practice and breakthrough technologies. He is deeply committed to creating sustainable development and communities, complementary currencies and business environments that respect the natural environment. He considers the role of Humanity is beyond mere Trusteeship or Stewardship but as positive contributors to the ecology, plant and animal life and the recognition and enrichment of our inherent common and shared journey.

### . **Pierre Boppe, President, The Stein Group [Geneva and Barcelona]**

Pierre Boppe is a Swiss and French dual national who has extensive business experience in international trade, tourism and the travel industry and is involved in microfinance activities. He is currently the President of The Stein Group in Barcelona, a leading pan-European developer and operator of small lifestyle hotels. He is also the Deputy Chairman of the international tour operator Kuoni Travel Holding Ltd., and Chairman of its nomination and

compensation committee, and Board member of the Hongkong and Shanghai Hotels Ltd. Mr Boppe has also an extensive experience in working in Africa and South East Asia when he was involved, as an executive of SGS, in international trade monitoring programs working with various Central Banks and Ministries of Finance. Mr Boppe has also a keen interest in microfinance activities and has become a board member of BlueOrchard Finance, a Swiss company specialized in the management of investment funds dedicated to the micro-finance industry. Mr. Boppe holds a degree in civil engineering from the Swiss Federal Institute of Technology, Lausanne, and a Master degree in civil engineering management from Stanford University, California. In 2002, he received the award of Chevalier de la Légion d'Honneur from the French Government.

**. Hervé de Carmoy, European President Designate, Philanthropia Trinity; Vice-Chairman, Trilateral Commission [Paris]**

Hervé de Carmoy is European Vice-Chairman of the Trilateral Commission since 2004 and has served as Chairman of the French Group of the Commission since 1989. He is presently Chairman of Almatix (formerly Alcoa Specialty Chemicals), a majority-owned company by Rhône Group LLC. He has been a Partner of the Rhône Group LLC in New York and Paris from 1998 to 2004. He is a recipient of The President of France's Chevalier de la Légion d'Honneur. Previously, M. de Carmoy was Chairman of the Banque Industrielle Mobilière et Privée (BIMP) and Advisor to the Chairman of HR Finances in Paris. Educated at l'Institut d'Études Politiques in Paris and at Cornell University in the United States, he began his career at the Chase Manhattan Bank in 1963 and became its Director for Europe in 1973. After joining Midland Bank in 1978, M. de Carmoy became Director and Chief Executive International, London and Paris, a position he held until 1988. He was then Chief Executive of Société Générale de Belgique in Brussels until February 1991.

M. de Carmoy is a Member of the Executive Committee of "France Active" (Micro-Credit in France) since 1995 and Member of the executive Committee of Planet Finance (International Micro-Credit) since 1998. He has also been a Trilateral Commission task force author on Restoring Growth in the Debt-Laden Third World (1987, with Martin Feldstein and Koei Narusawa). He has authored "La Banque du XXI ème siècle" (1995 - Odile Jacob) and "Conduire le changement" (1998 - Odile Jacob). He has also been Professor of International Business Strategy at the "Institut d'Études Politiques" Paris until 2001.

**. Roberta d'Eustachio, Founder, Editor-in-Chief and CEO, Giving Magazine [New York]**

Roberta d'Eustachio is Founder, Editor in Chief and CEO of Giving Magazine, an international publication for philanthropists that is currently in development. Her primary sponsor for the development of the magazine, beyond her family's investment, has been *The Economist Group*. Prior to starting Giving, Roberta founded The American Benefactor magazine, which she sold to Fidelity Investments in 1998. Her primary consultancy in the UK is advising Dame Stephanie Shirley on her philanthropic activities. Her non-fiction work in progress "Searching for Carnegie", includes interviews with Ted Turner, Jim Clarke, Sir John Templeton, George Russell, Lord Robert Gavron, Peter Norton and many other philanthropic luminaries around the world. Roberta resides in the United States, with her husband, the designer, Jerry Alten.

**. Mark Evans, Head of Family Business and Strategic Philanthropy, Coutts & Co [London]**

Mark Evans is Head of Family Business and Strategic Philanthropy at Coutts & Co. In this role, Mark is involved in advising family business owners and philanthropists on a range of issues from managing business succession to creating effective giving strategies. Mark joined Coutts from JPMorgan where he was a senior private banker to high networth clients in the United Kingdom. He was also responsible for spearheading the launch of the Family Business Honours designed to recognise large family businesses for family governance, business success and social responsibility. A UK citizen, Mark began his career at Citigroup in 1979 as a credit specialist, before becoming a private banker and, later, moving to Geneva to manage the UK, Nordic and Israeli markets. Mark sits on the Advisory Council of the Institute for Family Business (UK) and is a board member of the Association of Family Business Advisers. Mark is also a member of Pilotlight working to help small, innovative charities grow and fulfil their potential.

**. Prof Herbert Girardet, Director of Research, World Future Council Initiative [London and Stockholm]**

Herbert Girardet is an author, consultant and filmmaker. He is Director of Research, World Future Council Initiative, and chairman of the Schumacher Society, UK. He is (co)-author of 9 books and 50 TV documentaries on many aspects of sustainable development. He is a UN Global 500 Award recipient, an honorary fellow of Royal Institute of British Architects and a patron of the Soil Association. He is visiting professor at University of the West of England, and University of Northumbria. In 2003 he was 'Thinker in Residence' in Adelaide, developing sustainable development strategies for South Australia. He is a consultant to the Dongtan Eco-City project, Chongming Island, Shanghai. In 2004 Wiley Academy published his most recent book, CITIES, PEOPLE, PLANET - Liveable Cities for a Sustainable World. He currently holds a Royal Commission for the Exhibition of 1851 Sustainable Cities Fellowship.

**. Teddy (with Catherine) Goldsmith, Founding Editor, The Ecologist [London and Tuscany]**

Edward "Teddy" Goldsmith is a world renowned environmentalist and founder of The Ecologist magazine (1969, UK). Local versions of The Ecologist are also published in Brazil, France, India, Italy, New Zealand and Spain, together with associated books and reports. He remains editor of special issues of The Ecologist.

Edward is the President of the Climate Initiatives Fund (Richmond, UK) and a Board member of the International Forum on Globalization (San Francisco, USA). He has received numerous awards for his outstanding contribution to environmental issues including The Honorary Right Livelihood Award from Sweden (known as the Alternative Nobel Prize), The President of France's Chevalier de la Legion d'Honneur, and the Best Book of the Year Award for Ecological and Transformational Politics, awarded by the American Political Science Association, for "The Case Against the Global Economy: and for a turn towards the local", co-edited with Jerry Mander.

Edward was the subject of a British TV film in 1989 produced by Channel 4 - "Edward Goldsmith - Green Revolutionary". He is the author, co-author, editor or co-editor of over 15 books. Edward has taught courses on ecology and related subjects at Michigan University, at The University of Illinois, and has set up a Global Ecology Course with an International Honours Programme, associated with Boston University. Edward has an MA in Politics, Philosophy and Economics from Magdalen College, University of Oxford; and is a dual British and French national.

**. Harvinder Hungin, Partner, Chelsfield Partners [London]**

Harvinder Hungin has a background in corporate finance and banking, having worked for both Lazard Brothers & Co and Hambros Bank (part of Societe Generale) in their corporate finance divisions. In January 2003 he was responsible for planning and leading the public to private bid for Chelsfield plc and became Group Chief Operating Officer. He was involved in the formation of the GBP 400 million Global Switch European Property fund with Morley Fund Management, Chelsfield plc, Commercial Union, Norwich Union and CGNU. In addition, he was instrumental in the GBP 1.4 billion fund raising from CGI for the White City development. He took a major role in managing the involvement of firstly Chelsfield plc and subsequently Duelguide plc with Stratford City.

**. Dr Royston Flude, Royston Flude and Partners [Switzerland]**

Eur Ing Dr Royston Flude has received his first degree in Physics from the University of Manchester and has more than 25 years experience in a broad range of technologies and social economics with particular expertise at identifying commercially viable IPs. He has held senior positions with Shell Chemicals, Reed International, Lafarge Coppeé, Philips, and Manpower, among others. He has directed projects in Germany, the Netherlands, France, and the USA, as well as the UK. He has also been a Visiting Fellow at Manchester Business School. More recently, he has contributed to a new approach to Corporate Governance & Social Responsibility and sits on the Steering group of the UNECE, Geneva and is actively involved in the activities of the United Nations. Residing now in Switzerland, he has extensive contact networks within the international financial sector and has developed senior level links

with governments in the USA, the European Union and the Pacific Rim including the computer hardware sectors of China and Taiwan. He is a specialist in understanding the dynamics of purchasing through multi-media, including the Internet, and in the development of specialized customer service interventions that prompt repeat purchases.

**. Mark Lewis, Secretary, Philanthopia Trinity; Partner - Head of IT and Outsourcing, Lawrence Graham [London]**

Mark Lewis is head of the IT and Outsourcing practice of Lawrence Graham LLP, a leading UK law firm. Since 2004, Mark has been a Non-Executive Director of Morgan Chambers plc, Europe's foremost outsourcing consultancy, with operations in the UK, the Netherlands, Belgium and Sweden. He was called to the English Bar in 1982 and was from 1983 to 1989 senior legal counsel to the UK Cabinet Office, Treasury, Central Computer & Telecommunications Agency and other UK government Departments and public bodies. In that capacity, he was involved in some of the earliest - and largest - bureau processing, facilities management and outsourcing transactions in Europe.

In private practice since 1989, Mark has represented public and private sector organisations in many local and international outsourcing projects, including some of the largest in Europe and Asia. His experience includes all forms of IT outsourcing, business process outsourcing, managed services, HR outsourcing, smartsourcing, shared service centres, application service provision and other forms of web-based outsourcing, in each case, both on and offshore. His clients include some of the largest financial institutions and companies in other sectors. While his practice is concentrated on users and customers, he has also represented and continues to represent a number of the leading outsourcing and technology service providers globally. He and his team have just concluded the largest desktop outsourcing transaction in Europe. He therefore has a balanced perspective on the client/supplier relationship. In offshore outsourcing, Mark represents customer clients in a number of sectors in their transactions and increasingly some of the largest offshore outsourcers in their entrance to the European market.

Mark is co-author of Information Systems Outsourcing, a significant part of the Outsourcing Practice Manual, published by Sweet & Maxwell, London and the information systems outsourcing section in Technology Outsourcing, published by The Law Society in the UK. Both have become leading practitioner texts in the UK. He has been published in the UK and international press and has spoken at international conferences on the subject of outsourcing and related issues, including in the USA, Europe, Hong Kong and Mainland China.

**. DK (with Surinda) Matai, Chairman, The Philanthopia Trinity; ATCA; mi2g; San Fedele [London, Zurich and Tuscany]**

DK Matai is an engineer turned entrepreneur and philanthropist with a keen interest in the well being of global society. He founded mi2g in 1995, the digital risk specialists, in London, UK, whilst developing simulations for his PhD at Imperial College. He helped found ATCA - The Asymmetric Threats Contingency Alliance - in 2001, a philanthropic initiative to understand and to address complex global challenges. ATCA conducts collective dialogue on opportunities and threats arising from climate change, radical poverty, organised crime, extremism, informatics, nanotechnology, robotics, genetics, artificial intelligence & financial systems. ATCA has members from The House of Lords, House of Commons, EU Parliament, US Congress & Senate, G10's Senior Government officials and over 500 CEOs from banking, insurance, computing & defence.

Philanthropy - DK's main interest is The Philanthopia Trinity - Club, Syndicates and Ethical Investment Funds - which includes entrepreneurs, family foundations, private banks, non-governmental organisations and specialist advisors to resolve complex global challenges through collaborative & sustained efforts. His charity commitments include Sant Bani (Voice of Saints), a culturally diverse fellowship dedicated to the unity of mankind, The Shirley Foundation, The Oxford Internet Institute at The University of Oxford and The Trinity Forum, where he advises on a pro bono basis. He is also Chairman of the advisory board of the San Fedele Monastery (1100 AD) project, located between Florence and Siena in Tuscany. This initiative is dedicated to personal and societal renewal, business ethics and leadership development based on spiritual values and ecology.

Honours - DK was selected to present knowledge management to The Queen in 1998 and mi2g won The Queen's Award for Enterprise in the category of Innovation for Bespoke Security Architecture in 2003. This led to a visit to Buckingham Palace, a celebration hosted at Lloyd's of London, and by The Lord Mayor at Mansion House, followed by a joint visit to Zurich, Switzerland.

Innovation - DK spends about half of his time innovating with mi2g teams focused on digital banking, digital risk management and bespoke security architecture for major financial institutions, government agencies and multi-nationals in Europe, America and Asia. DK believes passionately that the next generation of private and corporate banking involves the global safe custody of valuable data and intellectual property alongside financial deposits with "guaranteed security". D2-Banking is holistic and includes the online vaulting of genomic maps and medical records; art, photo, music and video collections; digital messages and personal files including wills, deeds and memoirs; and other intellectual property alongside traditional financial services.

Authority - DK is an authority on countering complex global threats; strategic risk management; contingency planning; Information Operations (IO); electronic attack and counter-attack; biometric authentication; secure payment systems and Open Source hardened kernel solutions. He is an invited contributor to defence and global security analysis in the UK, USA, EU, Japan and Switzerland. mi2g intelligence has been cited by several government agencies including NISCC in the UK, FBI in the US and United Nations agencies in New York and Geneva.

Background - DK is a British subject. He is a Court Liveryman of the Worshipful Company of Information Technologists, a Freeman of the City of London and a member of the Institute of Directors and Institution of Electrical Engineers. He has worked formerly in the R&D labs of IBM, Inmos, ST Microelectronics and Helvar Electrosonic on Massive Parallel Processing and supercomputing applications. He enjoys meeting people, sharing thoughts, reading history and learning languages. He is vegetarian, teetotal and an optimist. He has lived in Asia, the Middle East, Europe and North America and he now lives in London amongst other European cities with his family.

**. Jane Milne, Head of Household and Property, Association of British Insurers [London]**

Jane Milne is the Head of Household and Property at The Association of British Insurers (ABI). Jane has led the Association's work on climate change since April 2001. She manages the team responsible for social and financial exclusion and property insurance issues such as weather perils, terrorism and other civil contingencies, fire and crime. As part of ABI's commitment to partnership working, Jane chairs the stakeholder group steering the Engineering and Physical Sciences Research Council / UK Climate Impact Programme's match-funded £2m research programme on climate change and the built environment and is a member of UKCIP's Advisory Group, appointed by Defra. She is a member of the Comite Europeen des Assurances' Natural Events Working Group. Jane has published articles on climate change in refereed journals, has been appointed as a reviewer for the Intergovernmental Panel on Climate Change's Fourth review and regularly speaks at international and national conferences on climate change and weather issues, including the EU's Green Week 2005 and the upcoming GLA World Cities Summit on Climate Change. Jane previously held posts at HM Treasury and the Ministry of Agriculture, Fisheries and Food (now Defra). She read Agricultural and Forest Sciences at Oxford University, specialising in agricultural economics. ABI's International Insurance Conference on climate, held in London on 29 June 2005, attracted delegates from the US, Japan, Australia and all the major European markets.

**. Dr Pete Moore, Science Communicator and Lecturer in Ethics, Trinity College Bristol [Bristol]**

Dr Pete Moore has authored 12 books over the last decade that reflect on the way that science and technology has had an impact on humanity. These include 'Killer Germs - rogue diseases of the 21st century' a book that is about to reappear in a new edition with additional chapters on Avian flu and hospital acquired infection; 'Babel's Shadow - genetic technology in a fracturing society' and 'Being me - what it means to be human'. His journalistic work

includes contributions to national and international publications, most recently 'Nature' and the 'Journal of Biology'. He is developing a line in public speaking and has appeared on radio and television. He has won 6 awards for his work. Dr Moore has worked as a rapporteur at private meetings in the House of Lords, and at St George's House, Windsor Castle. He is a visiting lecturer in ethics at Trinity College Bristol, and a Course Tutor on the Science Communication MSc course at the University of the West of England, Bristol. He is the immediate past Chairman of the Medical Journalists' Association. He is also a Fellow of the Royal Society of Arts and a 'Faraday Associate' of the Faraday Institute in Cambridge. He is a member of the National Health Service (NHS) Health Technology Assessment Programme's Diagnosis and Imaging Panel.

**. Prof Vladimir Kislov, Head of Department, Russian Academy of Sciences [Moscow]**

Professor Vladimir Kislov DSc PhD is one of the leading active scientists with more than 25 years experience in radio-engineering and electronics, nanotechnology, nanomaterials and related areas. He is Head of Department within the Russian Academy of Sciences, Chairman of the Nanotechnology Section of the Scientific Council on Physical Electronics of the Presidium of the Academy of Sciences of Russia. He was awarded the State Prize of Russia for Science and Technology in 2000 (the highest State Award of Russia in Science & Technology, given only once in a lifetime), as well as many state and public awards. Professor Kislov is a Fellow of the Russian Academy of Engineering Sciences, Russian Academy of Natural Sciences, Institute of Physics (UK), Cambridge Philosophical Society and many other professional bodies. Since the 1980s he was invited to work in the Cavendish Laboratory of University of Cambridge (UK) and was elected a Fellow of Pembroke College in 1990s. He was or continues to be Editor of some prestigious scientific journals (Nanotechnology (IOP), Nanobiology, RadioEngineering & Electronics, Radiotekhnika etc). Professor Kislov is the author of more than 200 scientific publications, four books and more than 20 patents. In Russia, he is a member of the Expert Council of the Government of Russia (since 1994), Advisor to top Government ministers, including his present position as Advisor on Science & Technology to the President & CEO of Vneshtorgbank (Bank of Foreign Trade - the top Russian State Bank). Professor Kislov was also Chairman of the Board of RTI Systems plc (Russian leading manufacturer of Radar systems) and RadioEngineering Corporation (State operator of satellite systems).

**. Carl Nisser, Consultant, SJ Berwin [London and New York]**

Carl Nisser is a member of the Swedish Bar Association and a Registered Lawyer with The Law Society. Carl has been a Junior Judge at the Stockholm Appellate Court, a Presenter at the Swedish Supreme Court of Administration and Legal Counsel at the Swedish Price and Cartel Office. Following his judicial career, Carl has held leading international executive positions with Volvo in Brussels and Goodyear International in Brussels, Belgium; Akron, Ohio; Jakarta, Indonesia and New York, USA. Carl is now advising SJ Berwin clients on international transactions and AIM listings.

Following some 20 years as an entrepreneur or employee in industry and business in Europe, the US and the Far East, including two years as Project Manager for a large project for USAID in Thailand and Indonesia, Carl set up his own law firm in Brussels. Carl has edited and written several books and articles on legal, financial and oenological issues. Using his knowledge of languages and personal experience of managing ventures in different cultures, Carl has set up several US and European companies. In 1976 Carl set up the European Government Business Relations Council, known as The Ad Hoc Council. Carl is a CEDR Accredited Mediator and has been honoured with a KCLJ.

**. Laurence Rose, Managing Director, Fortune Wealth Management [Geneva]**

Laurence Rose is the Managing Director of Fortune Wealth Management SA, Geneva, which is a subsidiary of Fortune Management Inc and the parent group is actively participating in the consolidation of independent asset managers in Switzerland. Fortune Management, through its private equity division, is involved with Global Alternative Energy International SARL in the construction of a bio-diesel plant and an oil mill which, on completion, will make the facility the world's largest fully integrated bio-diesel project. Laurence Rose is an active Member of the British Swiss Chamber of Commerce and has recently been elected a Fellow of the Securities and Investment Institute.

## [ENDS]

The following complex global challenges identified by the distinguished participants of *The Philanthropia Trinity*, during the last collective dialogues in New Delhi and Tuscany, will be developed further:

1. Climate change and carbon neutrality;
2. Eliminating radical poverty;
3. Personal and societal renewal opportunities - through leadership development programmes, identification of heroes, working with spiritual values and ecology;
4. Alternative energy and renewable resources;
5. Corporate and social responsibility - the well being of global society and building a better world through the promotion of sound business ethics;
6. Developing informed dialogue - on the business impact in the 21st century of:
  - a. Genetics and Bio-technology;
  - b. Robotics, Artificial Intelligence and Advanced Informatics; and
  - c. Nanotechnology and Sub-Atomic Quantum phenomena.

The following three conclusions were reached at *The Philanthropia Trinity* meeting convened in Tuscany:

1. In order to have a meaningful positive impact on modern corporates and governments of industrialised and fast industrialising countries, it is necessary to engage with the Chairmen & CEOs of large insurance and reinsurance companies, who constitute the DNA of modern capitalism and are most affected by climate change and other global challenges that continue to hit their bottom line. The dialogue needs to be deepened with the banks related with the insurance and reinsurance players and their multi-national Property and Casualty (P&C) and Life insurance and reinsurance customers, in a way that creates incentives for the entire corporate profits and distribution chain to put constructive medium to long term policies into play. This will help individuals and businesses to balance their growth with building a better world, step by step, and away from the 90 day - quarter by quarter - mindset encouraged by financial markets.
2. Societal and individual values need to be rejuvenated by putting forward positive examples so that consumer behaviour can be altered away from being a shopping-centric engine towards being a centre of creativity, innovation and well being. The celebrity culture which identifies relatively weak individuals as role models needs to be countered by identifying philanthropist heroes in the entrepreneurial, conservationist, business leadership and not-for-profit organisations that have taken on complex global challenges with humble beginnings and seek to help build a better world.
3. It is important to bring corporates, government bodies and NGOs together to discuss specific global challenges at the highest level. With appropriate incentives accords need to be signed which incentivise and reward customers - of all major industry players - to embrace greater social and environmental responsibility. Once the positive impact of such initiatives is achieved based on pre-agreed benchmarks, the success stories of innovation to build a better world need to be offered to the world's leading journals and newspapers by way of positive and more meaningful communications strategy for the involved entities taking concrete steps to build a better world.

If you are unable to participate on this occasion but would like to participate in the next *Philanthropia Trinity* meeting in London, Paris, Zurich, San Fedele (Tuscany), New York, San Francisco, Toronto, Beijing, Tokyo or New Delhi, please let us know - including your preference. Thank you.

We look forward to seeing you.

Best wishes

For and on behalf of DK and Surinda Matai, *The Philanthropia Trinity*

DK Matai also acts as Chairman of ATCA and Chairman of the Advisory Board of San Fedele

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-----Original Message-----

**From:** Chairman's Office - Joanna Pond

**Sent:** 28 November 2005 11:20

**To:** 'global.philanthropia.trinity@mi2g.com'

**Subject:** Confidential: Conclusions of The Philanthropia Trinity meeting in Tuscany - 26th to 27th November 2005 -

## Conclusions of The Philanthropia Trinity meeting in Tuscany - 26th to 27th November 2005

Dear fellow philanthropists, thinkers and specialist advisors

### **RE: Addressing Complex Global Challenges through the Philanthropic Route**

We are grateful to Catherine & Teddy Goldsmith, the world famous ecologist couple, for helping to organise and host the first *Philanthropia Trinity* dialogue in Tuscany, Italy, on Saturday the 26th November 2005. Also, we are grateful to Nicolo & Renata Strub, the curators of San Fedele, for organising a preliminary get together for some of the overseas visitors at the Certosa di Pontignano and centre of Siena over lunch on Saturday, as well as a follow on introspective meditation retreat at San Fedele on Sunday the 27th November.

The following complex global challenges were identified by the distinguished participants from across Europe during the collective dialogue:

1. Climate change and carbon neutrality - defining the key metrics for long term good conduct for the well being of mankind's home planet earth;
2. Eliminating radical poverty - through micro-credit schemes and more responsible capitalism;
3. Personal and societal renewal opportunities - through leadership development programmes, identification of heroes, working with spiritual values and ecology;

4. Alternative energy and renewable resources;
5. Corporate and social responsibility - the well being of global society and building a better world through the promotion of sound business ethics;
6. Developing informed dialogue - on the business impact in the 21st century of:
  - a. Genetics and Bio-technology;
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If you wish to participate in the next *Philanthropia Trinity* meeting in London, Paris, New York, Zurich or San Fedele, Tuscany, please let us know. Thank you.

Best wishes

For and on behalf of DK Matai and family, *The Philanthropia Trinity*

DK Matai also acts as Chairman of the Advisory Board of San Fedele

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**Joanna Pond**

Chairman's Office

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-----Original Message-----

**From:** Chairman's Office - Joanna Pond

**Sent:** 05 January 2006 20:54

**To:** 'global.philanthropia.trinity@mi2g.com'

**Subject:** Confidential: Philanthropia Trinity Meetings in New Delhi, India, 27th to 31st December 2005; Alexander Hoare's Voyage through Asia - Visit to the Low Life - November 2005

## Conclusions of The Philanthropia Trinity meetings in New Delhi, India - 27th to 31st December 2005 -

Dear fellow philanthropists, thinkers and specialist advisors

### **Micro-Finance and Micro-Credit**

A number of *Philanthropia Trinity* meetings were held with senior officials in the Indian Central Government, Reserve Bank of India (Central Bank) and large indigenous and multi-national financial and health institutions between 27th and 31st December 2005 in New Delhi. In particular, the Reserve Bank of India at Governor-level is concerned about the elimination of "Rural Money Lenders" or "*Baniyas*" who charge as little as 50% interest per annum and can charge as much as several 100% interest per annum from poor village dwellers and rural businesses, whereas the city dwellers get loans at around 8% in New Delhi, Bombay or Calcutta from established financial institutions. This leads to immense poverty gaps for a vast segment of the Indian population. The vicious circle is such that they can ill afford to come out of the debt trap. The eradication of *Baniyas* requires the proliferation of micro-credit and finance schemes and in this area, the Central Bank of India seeks to develop an international summit with key micro-finance players in conjunction with *The Philanthropia Trinity*. If you would like to be involved in this summit in 2006 please let us know at the earliest. Another project discussed with leading Ophthalmologists was the removal of cataracts in urban and rural areas, which afflict relatively young individuals around the age of 45 or greater. Just by eliminating the cataract of a working-age adult, through a cost-effective operation, it is possible to lift a family out of poverty in the short term.

### **Alexander Hoare's Voyage through Asia - Visit to the Low Life**

We are also circulating an illuminating article by Alexander Hoare in regard to the effectiveness of philanthropy: both in terms of success and failure.

*Alexander Hoare is the eleventh generation Chief Executive of C Hoare & Co, the private deposit bank which was founded in 1672 and remains 100% owned by the direct descendants of the bank's founder. Prior to joining the C Hoare & Co, Alexander Hoare worked as a marketing consultant for PA Consultant Group until 1987. Alexander Hoare is a member of the Westminster Abbey Finance and Advisory Council, a trustee of Training for Life, a former trustee of Trinity Hospice, and a former President of the Groupement European de Banques. Born in 1962, he graduated from the University of Edinburgh with a B.Comm with honours in marketing.*

*"I spent much of November 2005 visiting orphanages, schools, hospitals, slums (microfinance), refugee camps, and an intermediate technology initiative. Along the way, I*

have seen good, bad and indifferent philanthropy (and humanity). One challenge is to work out for future reference what are the differentiators between good and bad outcomes.

By far the most important consideration seems to be choosing honest, capable people, with an entrepreneurial bent, to work with. A second is compassion, and a third is local knowledge.

We were lucky to be introduced (by James Odgers) to Opportunity International, a lean expert Christian organisation solely interested in microfinance. They work with The Bridge Foundation in Southern India, which is also lean, and it, in turn, works with multiple partners across the region. One such partner, Divya Shanti, took me to see five microfinance beneficiaries in the slums of Bangalore, and, in each case, the whole family had been lifted up a grade of poverty and the children are now receiving an education.

I met a trustee of The Bridge Foundation for dinner. He started work as a boy in the slums of Mumbai bending wire to form and sell coat hangers. He progressed on to bending aluminium to form and make air conditioning ducts, before making his fortune in the Gulf by selling GRP reinforced concrete in elaborate shapes for making mosques! He is a serial (successful) entrepreneur, and I met him in a bank he has started (exclusively for the poor). His big project now is to create a credit rating agency for the poor, and he had just returned from a meeting with Brian Griffiths in Fleet St on this subject - which is mentioned in the Economist article on microfinance.

Lal Muthanna, a Hindu, has done amazing things with small sums of money the 2nd cousins sent out over the years, namely: four schools, two orphanages, one hospital and one polytechnic. These have all come on since I last visited nearly 8 years ago, and the extraordinary thing is the money has remained untouched on deposit! He can (and does) account for all of it, (GBP 70k). His skill is to use leverage - adding to the capital a contribution from him, and then from all his managers, and then from the company. He set up the organisations with honest people, and only then did he accept money from government. The present manager of the tea estate chooses to send his daughter to one of these schools, rather than to a private school. Lal is honest and he has deep local knowledge. His compassion and conscience largely comes from his wife Yash - who once asked me where she could find a good translation of Pericles' funeral oration!

One of the things The Bridge Foundation does is micro-life insurance (to pay the funeral bills). I asked Lal if the tea company does this, and he confirmed that it does. He said it got off to a bad start however when a husband killed his wife for the money! I had hoped to set up some sort of microfinance project in the Nilgiris, but found the tea company had beaten me to it.

Lal & Yash introduced me to a dentist, who has quietly become a world authority on the indigenous (nomadic) people of the Nilgiris, the Todas, and the preservation of the eco-system. He has all the necessary qualities, together with humility and dedication. He has a plant named after him, but he had no idea that a Google search on Tarin Chhabra brings up 250 hits!

In Madras, I met the Chairman of the tea company, Mr Sivasalem (who came to lunch at the bank a few years ago). He is in a wheelchair following a stroke, but remains proud of his business achievements (mainly tractor manufacturing). I twice asked him about philanthropy in the light of the successes of his tea company, and he simply didn't understand what I was driving at. Lal's comment on his business achievements was that he started as one of the top 20 businessmen in India, and now doesn't feature in the top 200.

My parish in Chelsea has kindly been sending about GBP 5k p.a. to a priest in Ooty, who runs an orphanage. It was a shabby affair, dirty and run down. He "explained" that the government didn't welcome Christians running orphanages, so said he had put most of them into boarding schools. He took me in the smartest SUV in the Nilgiri Hills, sporting a fancy watch and brandishing a mobile phone, to meet many of the kids supported by the parish. They were nice children and happy to receive gifts, but I wonder if they would have been happier if he knew their names?! There was little compassion evident. Lal Muthanna reckons that 20 kids could be educated for GBP 1k p.a., so I look forward to reviewing the accounts the Rev Stephen Nathaniel has promised to send me...

*Banca Sella is supporting a tsunami affected school in Tamil Nadu and sending executives to help.*

*In Sri Lanka Nerissa Martin spent a small fortune of her own on clothing and feeding survivors in the month after the tsunami, and explained they would otherwise have died, (the government did not raise a finger). It is astonishing that the government was re-elected - until you learn that those of her staff who wanted to vote against the government were mysteriously prevented from doing so...*

*She has since raised significant amounts of money from the UK and has rebuilt all the houses she is allowed to (by the 100m rule). She took me to see one she was building, and it was staggering to see that a German aid agency had pinned a sign on it claiming credit for building it.*

*There are such signs all over the place - I took a photo of a sign by a Swiss aid agency claiming to have built 400 houses in one village, when in fact not a single one has been built. This is fraud on the donors, benefiting the pockets of the Sri Lankan government, and the charity workers on junkets.*

*This has been going on in a big way. There is an abandoned brick factory near her house: the Irish came and built it, got the photo shots and publicity, and then abandoned it. This is a tragic waste of resources, and it is cruelly cynical to raise hopes of employment only to crush them.*

*Meanwhile Nerissa found the conditions in the hospital dreadful, so she set about tiling it and rebuilding suitable toilets. She found the deaf/blind school was suffering from a collapse of support after the tsunami, so she undertook to feed it, rebuild it, and refurbish it (spending a large fortune).*

*When we visited the hospital, we found an old man dying of his tsunami complications, and she demanded to know his next of kin. She then took me straight away to meet his daughter in a refugee camp. She had just given birth six days before, so Nerissa personally made arrangements for this family. I heard she earlier saved an orphan in a long strange story. Of course if the houses had been built with the money that has vanished, the girl and her father and daughter would have been able to live in one of them...*

*There are boats dotted along the shore donated by some kind agency - without oars, engines or nets! Hopeless: no local knowledge, no compassion, and third rate people running the project. Way too late as well. Another nuisance is "rich kid" volunteers who don't achieve very much, but who spend loads of money coming and staying in good hotels, all of which could be used to transform tsunami victims' lives.*

*I was pleased to meet a customer in Singapore who runs an Asian "smaller company" fund for US educational establishments. His business systematically diverts some of its profits to Asian schools, and it transpired he has a house near Nerissa and is keen to help there...*

*Finally, the intermediate technology initiative involves developing millennia old local terracotta skills present in a Sri Lankan government owned, run-down pottery for the global market place. My involvement arises out of a chance conversation on an aeroplane from Frankfurt between Charles Marsden-Smedley and Lord Queensbury. Habitat in the UK, and an equivalent in the USA, both want to sell handmade terracotta. Royal Fernwood, a top ceramics factory, is willing to help with the order handling, glazing and shipping. The object is to lift the potters out of their poverty. The challenge is to persuade the Sri Lankan government to invest in improved facilities for these potters, so that this will create better employment and new foreign currency earnings for them. Alternatively the government should privatise it. Either way, nothing is likely to happen fast...*

*I could additionally write a commentary on the high life, but that's another story!"*

**[ENDS]**

We look forward to hearing from you.

Best wishes

For and on behalf of DK and Surinda Matai, *The Philanthropia Trinity*

DK Matai also acts as Chairman of ATCA and Chairman of the Advisory Board of San Fedele

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